



# Regulatory Updates on Medical Devices in Japan

MIYASAKA Tomoyuki  
Deputy Director,  
Medical Device Evaluation Division, Pharmaceutical Safety Bureau,  
Ministry of Health, Labour and Welfare Japan (MHLW)  
Japan

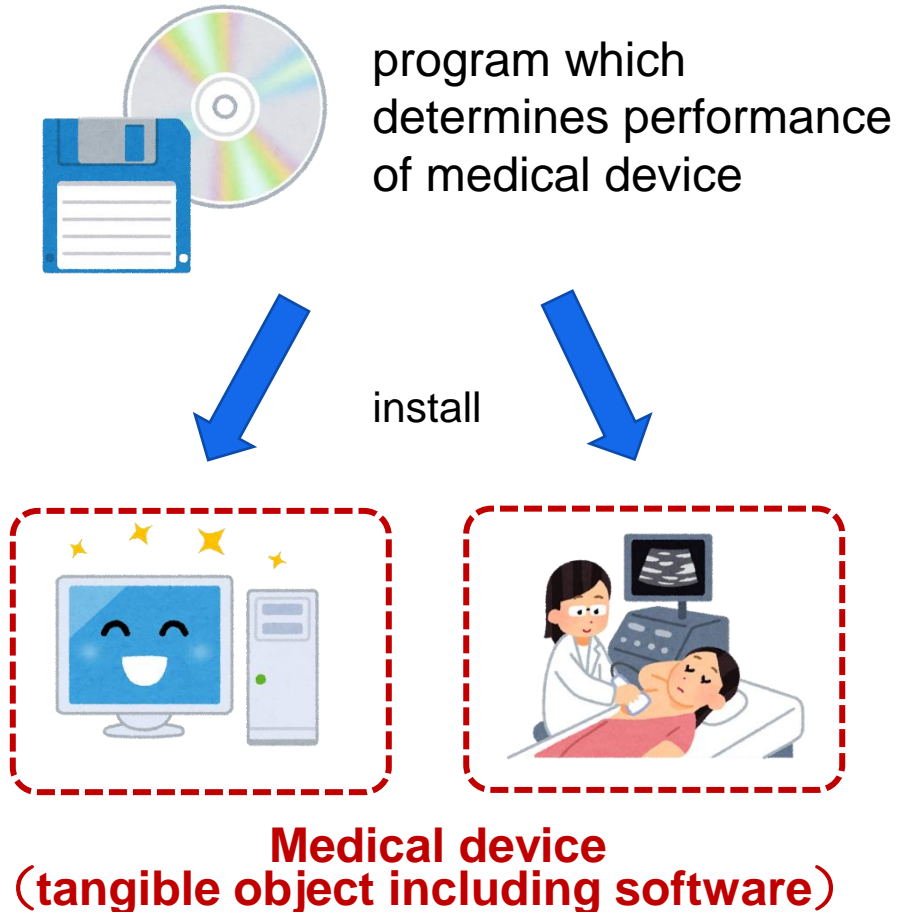
# Overview

## Update for SaMD regulations in Japan

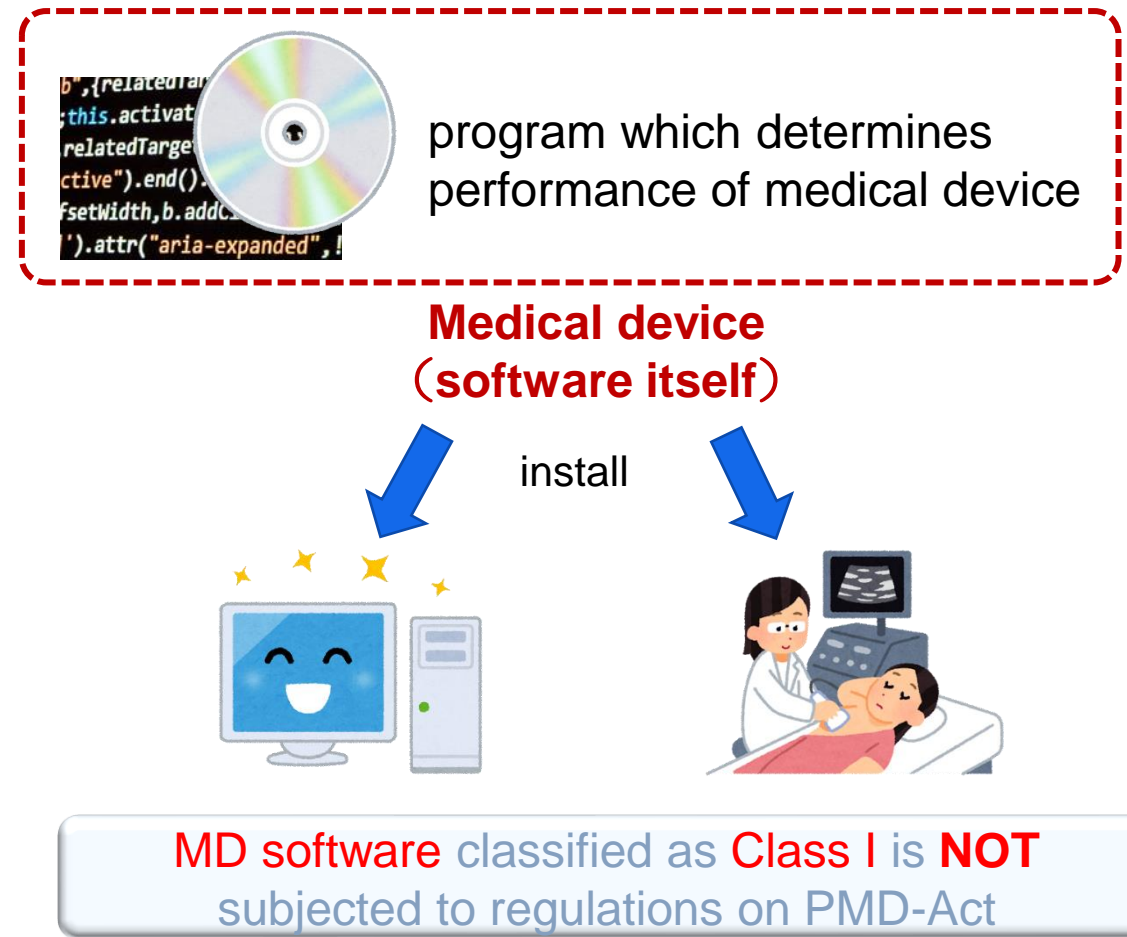
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## Transition of regulations for SaMD in Japan

before November 2014



after November 2014



## Toward Further Practical Application and International Development of SaMD in Japan

- While there were high expectations for the utilization of SaMD (Software as a Medical Device), there were issues regarding the direction of efficient development of SaMD because it is still a new field for all stakeholders in Japan.
- To tackle the issues, on November 24, 2020, MHLW launched “**DASH for SaMD**” (Package Strategy for Accelerating the Commercialization of SaMD) , and the institutional infrastructure was established mainly to efficiently obtain pharmaceutical approval under the PMD Act.

## Toward Further Practical Application and International Development of SaMD in Japan

- However, in order to further promote the practical application of SaMD in the future, we need to do more, such as the following.
  - ✓ Clarify various paths to commercialization (two-step approval scheme for SaMD, SaMD for the general public) in cooperation between the regulatory and insurance authorities to ensure predictability from approval to insurance coverage.
  - ✓ Accelerate research and development of Japan-originated SaMD and promote their expansion into international markets.
- Based on the above, MHLW have just compiled a new strategy, namely **“DASH for SaMD 2”** on September 6, 2023, with some goals for the next five years.

## **DASH for SaMD 2 (2023/9/6)**

- ◆ Organize and publicize the two-step approval scheme for SaMD
- ◆ Develop guidelines for approval review and marketing procedures for SaMD for the general public
- ◆ Promotion of overseas acceptance of our review results (such as English translation of review reports)
- ◆ Subsidies for development funds for SaMD developers
- ◆ Support for SaMD developers to actively business overseas

## **DASH for SaMD (2020/11/24)**

- ◆ Setup an office to review SaMD in MHLW and PMDA
- ◆ Establishment of SaMD centralized consultation service
- ◆ Next-generation medical device evaluation index, development guidance, audit points, and certification criteria formulation
- ◆ Trial implementation of priority review, etc. for innovative SaMD
- ◆ Promote the use of IDATEN (Improvement Design within Approval for Timely Evaluation and Notice) and streamline procedures, etc.

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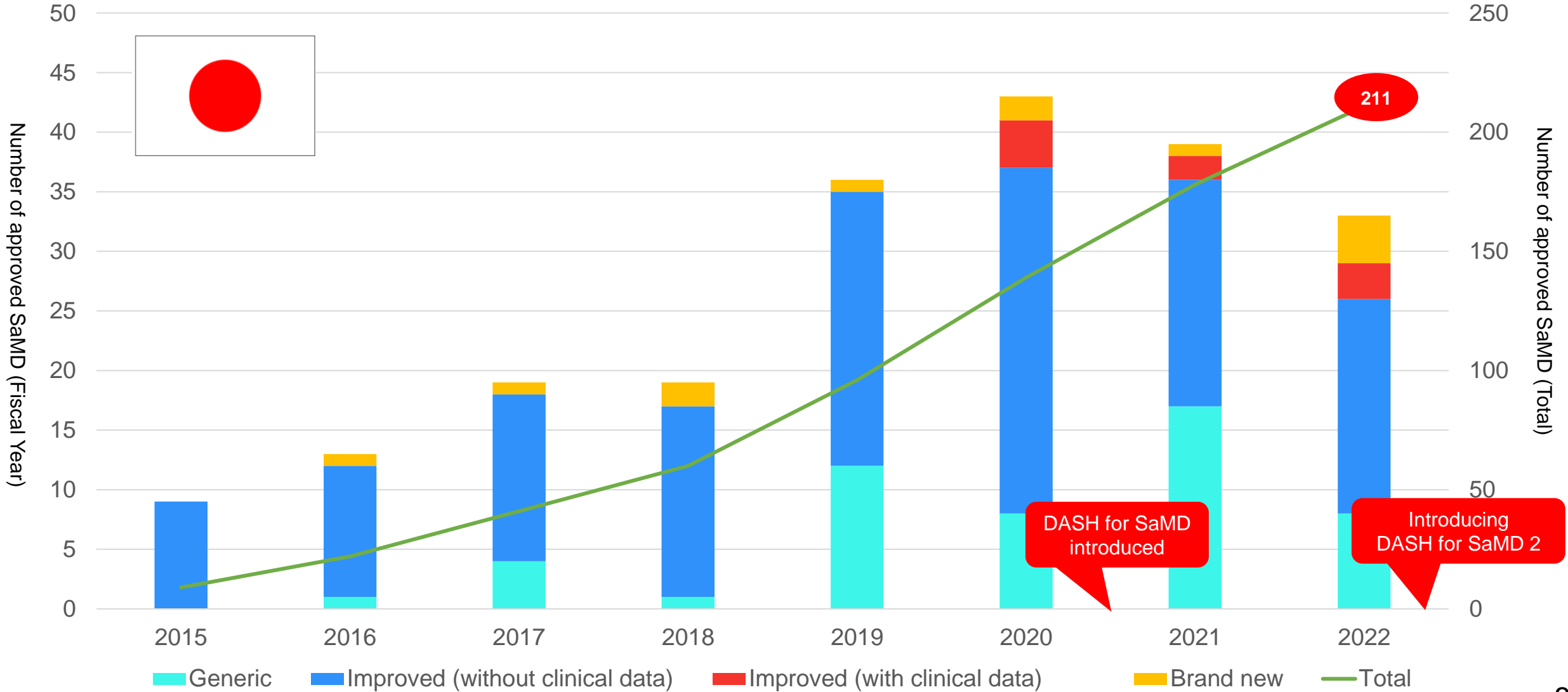
- ◆ Upgrade from office to Department for reviewing SaMD in PMDA
- ◆ Establishment of SaMD-specific consultation service
- ◆ (Continue)
- ◆ (Continue)
- ◆ (Continue)

## Goals for the next 5 years under DASH for SaMD 2

- ◆ Expansion of more enhanced self-care options
- ◆ Promotion of better health for the public
- ◆ Exporting more and market acquisition of innovative SaMD developed in Japan
- ◆ Shorten the development cycle time of SaMD by contributing to a smooth and efficient market introduction
- ◆ Realization of efficient commercialization of SaMD
- ◆ Creation and early commercialization of innovative SaMD
- ◆ Smooth and efficient post-marketing performance improvement of SaMD

### Number of Approved SaMD (not including the certificated SaMD)

As of March 2023





Non-SaMD	SaMD			
<ul style="list-style-type: none"> <li>● For health control (ex: programs which give patients advice on meal or exercise for health maintenance and promotion)</li> <li>● Educational program (ex: training programs for health care professionals)</li> <li>● In-hospital business support program (ex: medical appointment system, electronic medical record)</li> <li>● Programs corresponded to class I (ex: eye test, programs for color perception test)</li> </ul>		Class II	Class III	Class IV
	<b><u>For treatment at home</u></b>	<div data-bbox="868 425 1549 529">                     for used exclusively at home <b>2</b> </div>		
	<b><u>For diagnostics</u></b>		<div data-bbox="868 611 2186 682">                     for computer assisted Imaging diagnostics <b>322</b> </div>	
			<div data-bbox="868 711 2186 803">                     for computer assisted diagnostics other than imaging <b>89</b> </div>	
		<div data-bbox="1403 825 2186 911">                     for gene mutation analysis <b>11</b> </div>		
<b><u>For treatment</u></b>	<div data-bbox="868 968 1549 1068">                     Application for behavioral therapy <b>3</b> </div>	<div data-bbox="1582 968 2186 1082">                     for therapy planning support <b>58</b> </div>	<div data-bbox="1582 1110 2186 1232">                     for Surgical Support <b>1</b> </div> <div data-bbox="1582 1268 2186 1368">                     for controlling MD <b>3</b> </div>	

## Examples of approved SaMD

(Ex.1)

Digital Therapeutic App for Hypertension (approved in Apr. 2022)  
→ Behavioral Approaches to Lifestyle Modification



(Ex. 2)

ELECTROCARDIOGRAPH SOFTWARE FOR OVER-THE-COUNTER USE (approved in Sep. 2022)

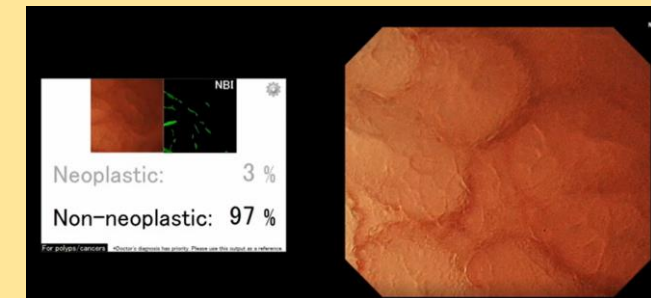
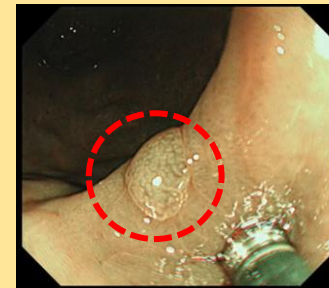
→ can provide information for identifying cardiac arrhythmias and encourage medical examination



(Ex.3)

AI-powered Colorectal Endoscopy Diagnosis Support Software (approved in Apr. 2022)

→ Support for detection and differentiation of lesions in colonoscopy



## Two-step Approval scheme for SaMD (*draft*)

- Two-step Approval scheme was introduced in 2017.
- This scheme is mainly used for diagnostic MD, and is used when the analytical performance is reliable but the clinical benefit of the analyte is not sufficient. By using this scheme, it is possible to claim that “physiologic parameter “A” can be measured” in the First-step Approval, and, after concreting the clinical benefit, claim that “measuring A will lead to diagnose of specific disease B” in the Second-step Approval.
- MHLW is currently considering that **the scheme will expand to SaMD for the treatment** such as the next slide image. In the case of SaMD for the treatment, if safety and a certain level of efficacy based on some evidences (including non-clinical trial) for Alleviation and improvement of specific symptoms caused by disease “C” can be confirmed, the First-step Approval will be granted at that point. Then, after concreting the clinical benefit, the Second-step Approval will be granted to claim the final clinical benefit.

*✘ This scheme is under consideration, but is being studied with the aim of introducing it by the end of 2023.*

## Two-step Approval scheme for SaMD (*draft*)

### SaMD for diagnosis

After clinical benefit concreted  
(Post-marketing CT, RWE)

Diagnosis of disease “B” by  
analyzing physiologic parameter “A”

Reliable performance for analyzing  
physiologic parameter “A”

First-step Approval

Second-step Approval

### SaMD for treatment

After clinical benefit concreted  
(Post-marketing CT, RWE)

Treatment support and  
improvement of disease “C”

Alleviation and improvement of  
specific symptoms caused by  
disease “C”

First-step Approval

Second-step Approval

# Thank you/Questions



MHLW Website  
<https://www.mhlw.go.jp/english/>



PMDA Website  
<https://www.pmda.go.jp/english/index.html>

If you have any questions, please contact me via email ( [miyasaka-tomoyuki@mhlw.go.jp](mailto:miyasaka-tomoyuki@mhlw.go.jp) ) or visit our website as above.

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