



# Unique Device Identification (UDI) Status, Learnings, Next Steps

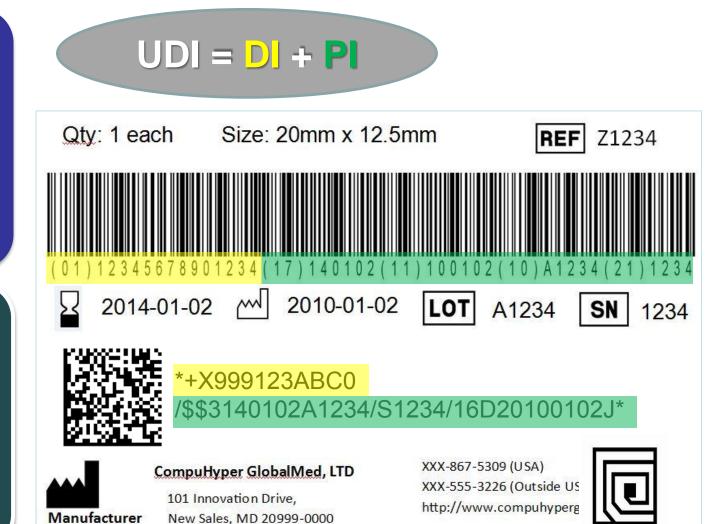
Jeffrey Shuren, M.D., J.D. Center for Devices and Radiological Health (CDRH) US Food and Drug Administration (FDA) IMDRF – September 2016



# What is a UDI?

Required on the device label, packages or, in some cases, on the device itself

Code in plain text and machine readable format (AIDC)



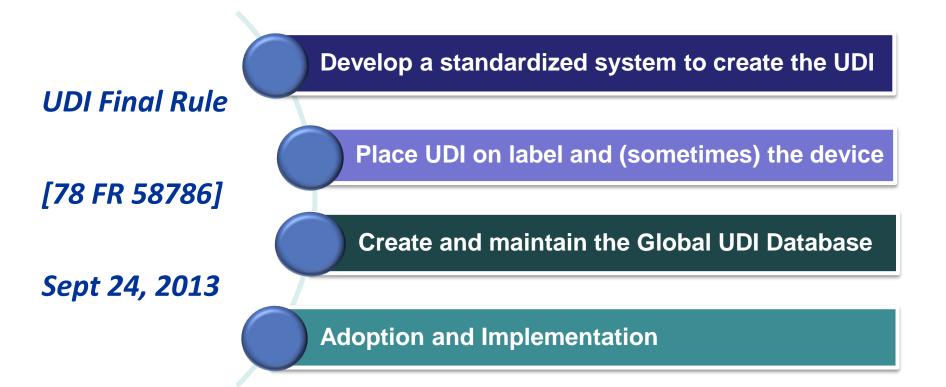


# **Benefits of UDI**

Improve Patient Safety	<ul> <li>Collect and analyze more detailed and accurate device information to detect product problems</li> <li>Identify and remove unsafe products</li> <li>Increase likelihood of identifying counterfeit products</li> </ul>	
More Accurate Understanding of Device Benefit- Risk Profile	<ul> <li>Better evaluate product performance</li> <li>Improve device selection by providers and patients</li> </ul>	
Facilitate Device Innovation and Patient Access	<ul> <li>Understand patient-device characteristics to improve device design</li> <li>Inform regulatory decisions (e.g. expand indications, new device approvals, PAS)</li> </ul>	



# **Establishing a UDI System**





# **Implementation Timeframe**

Compliance Date	Must bear a UDI & submit data to GUDID
September 24, 2014	Class III devices, incl. class III stand alone software
	Devices licensed under the PHS Act
September 24, 2015	<ul> <li>Implantable, life-supporting and life-sustaining (I/LS/LS) devices, incl. stand alone software</li> </ul>
	Direct Marking of I/LS/LS for certain intended uses
September 24, 2016	Class II devices
	<ul> <li>Direct Marking for class III devices and devices licensed under the PHS Act, for certain intended uses</li> </ul>
September 24, 2018	Class I devices and devices not classified class I, II or III
	Direct Marking of class II devices for certain intended uses
September 24, 2020	<ul> <li>Direct Marking of class I devices and devices not classified into class I, II or III, for certain intended uses</li> </ul>





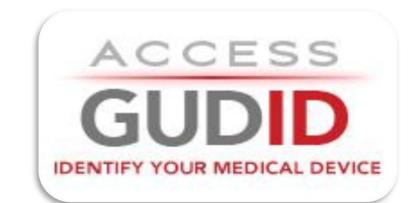
# **Data Collection**

**Repository of key device identification information** 

Contains ONLY the DI; PIs are not submitted to nor stored in the GUDID

Contains only PI flags to indicate which PIs are on the device UDI





# **Adoption and Data Use**

#### **Public Access to GUDID data**

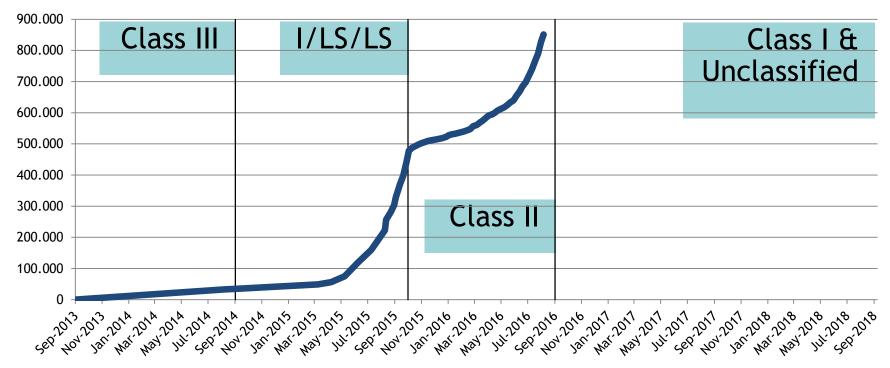
High quality public search, download and web services (APIs)

**Provides link to health IT expertise and initiatives** 



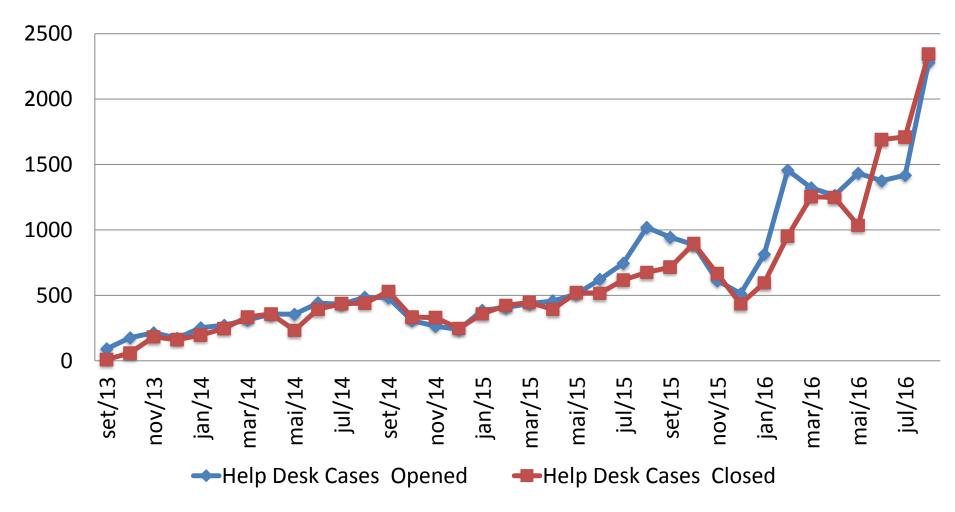
# GUDID Records and Submission Compliance Deadlines

Data Current as of September 1, 2016





## **Helpdesk Statistics**





# September 2013 to 2016 **LESSONS LEARNED**



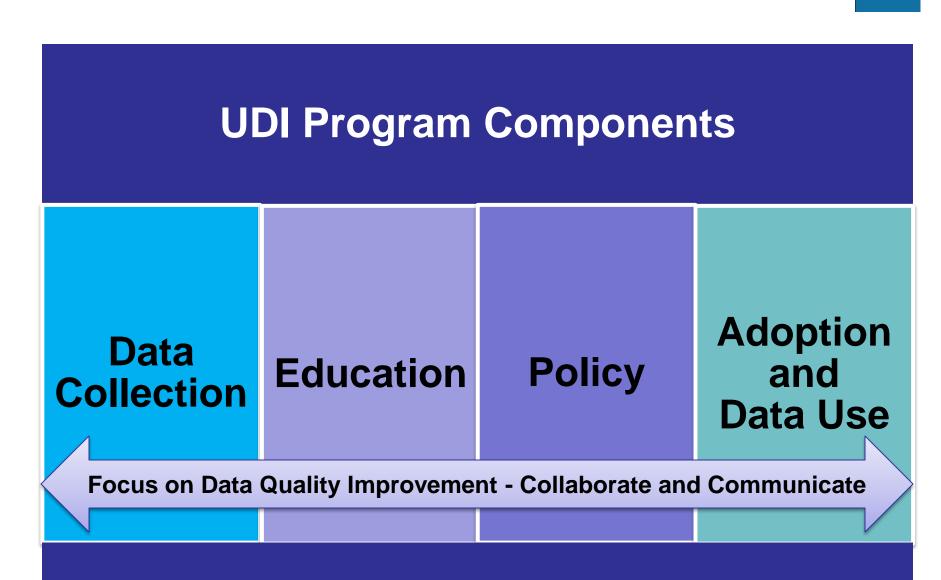
# **Subject Matter Expert Resources**

#### **UDI Team**

- Technical
- Regulatory
- Adoption
- Data Quality
- Standards
- UDI Helpdesk

### Collaborators

- Internal (Premarket, MDR, Compliance, CBER, CDER, ORA)
- External (device and healthcare industry)
- Government (NLM, ONC, CMS, VA)
- Healthcare Systems
- Registries



www.fda.gov



# Data Collection

- Use data standards; focus on downstream data use
- Set expectation of continuous improvement
- Provide public access to database in multiple forms
- Plan for challenging device types (kits, device systems, consigned devices)



# Education

- Automate help desk tools; consider chat or phone option
- Early and often website, webinars, guidances, FAQs
- Staff for cyclical ups and downs
- Leverage issuing agencies and other external groups to multiply common messages



# Policy

- Leverage available vetted policies/standards
  - IMDRF UDI Guidance
  - Issuing Agency Standards
  - Guidances by other countries
- Establish firm deadlines and extend as needed
- Base policy and technical decisions on real world use of UDI data



# Adoption and Data Use

- Emphasize value of DI + 5 PI fields DI is key in database and link across data sources.
- Learning UDI Community framework
   for shared best practices
- Integrate in National & International device initiatives – NEST, Registries, EHR Certification
- Demonstrate benefit of UDI adoption through pilots, ROI analysis and early adopters



# **Labeler Challenges**

- Setting best practices for adding UDI to label
- Difficult to collect data across Enterprise and submit to GUDID
- Combining regulatory and healthcare uses

## Heterogeneity of devices

**UDI is New** 

- Wide variety of characteristics
- Implantables, instruments, orthopedic trays, software, etc.
- UDI rule can't cover it all

## Variability in UDI use

- GUDID fields vary by context of use
- Difficult to coordinate development of consensus-based best practices
- Best source to improve UDI in practice



# **Questions?**

## FDA UDI Website (including Helpdesk): <u>www.fda.gov/udi</u>

# Slide Presentations, Transcripts and Webinar Recordings are available at:

www.fda.gov/CDRHWebinar

Under Heading: Unique Device Identification (UDI) System